

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

525/PALL

APPLICATION NO.

09/936732

INTERNATIONAL APPLICATION NO.  
PCT/US00/06829

INTERNATIONAL FILING DATE  
15 March 2000

PRIORITY DATE CLAIMED  
16 March 1999

TITLE OF INVENTION

BIOLOGICAL FLUID FILTER

APPLICANT(S) FOR DO/EO/US

PALL CORPORATION ET AL BORMANN THOMAS J. et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
  3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 34 (35 USC 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
  11. ☐ Nucleotide and/or Amino Acid Sequence Submission
    - a. ☐ Computer Readable Form (CRF)
    - b. ☐ Specification Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ Paper Copy
    - c. ☐ Statement verifying identity of above copies
- Items 12 to 19 below concern other document(s) or information included:**
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
    - ☐ Form PTO-1449
    - ☐ Copies of Listed Documents
  13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☒ A **FIRST** preliminary amendment.
    - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☒ Application Data Sheet Under 37 CFR 1.76
  18. ☒ Return Receipt Postcard
  19. ☒ Other items or information: International Preliminary Examination Report (PCT/IPEA/416 & 409) and International Search Report (PCT/ISA/220 & 210)

20. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO ..... \$1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but International Search Report prepared by the EPO or JPO ..... \$ 860.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO, but

international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Article 33(1) to (4) ..... \$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT=**

CALCULATIONS

PTO USE ONLY

\$860.00

Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date

\$

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

Total Claims

33

-20=

13

x \$ 18.00

\$234.00

Independent Claims

3

- 3 =

0

x \$ 80.00

\$

☐ Multiple Dependent Claim(s) (if applicable)

+\$270.00

\$

**TOTAL OF ABOVE CALCULATIONS=**

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

**SUBTOTAL=**

\$

Processing fee of \$130.00 for furnishing English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date.

\$

**TOTAL NATIONAL FEE=**

\$

Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property

+

\$

**TOTAL FEE ENCLOSED=**

\$1094.00

Amount to be:  
refunded

\$

charged:

\$

a. ☒ A check in the amount of \$1094.00 to cover the above fee is enclosed.b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:


 Jeremy M. Jay, Registration No. 33,587  
 One of the Attorneys for Applicant(s)

Date

17 Sept. 2001